



T-110.5140 Network Application Frameworks and XML

XML Security Basics

30.3.2009

Sasu Tarkoma

Based on slides by Pekka Nikander

Contents

- **High-level view to WS security**
- WS Application level security
- Standardization landscape
- Basic XML security
- Summary

- Topics are continued in the next lecture

Need for XML security

- XML document can be encrypted using SSL or IPSec
 - ◆ this cannot handle the different parts of the document
 - ◆ documents may be routed hop-by-hop
 - ◆ different entities must process different parts of the document
- SSL/TLS/IPSec provide message integrity and privacy only when the message is in transit
- We also need to encrypt and authenticate the document in arbitrary sequences and to involve multiple parties

High-level view to WS security

- Security is as strong as the weakest link
- The options for an attacker are:
 - ◆ Attack the Web Service directly
 - ☞ Using "unexpected" XML
 - ◆ Attack the Web Services platform
 - ◆ Attack a WS security tool
 - ◆ Attack the underlying operating system or network connection
- Let's have examples from different security functions' point of view and highlight key specifications

Authentication I

- End-users authenticate (their identity is verified) using username/password, SecurID or such, or biometrics
 - ◆ End-users do not send SOAP messages
- Authentication mechanisms
 - ◆ SSL/TSL (end-to-end)
 - ◆ IKE & IPSec (end-to-end)
 - ◆ Digital certificates and signatures in SOAP messages (between security contexts)
- Core specification: **XML Signature**
- **WS-Security**
 - ◆ SOAP with security tokens
 - ☞ A security token represents a set of claims.
 - ☞ Self-generated or issued by a trusted party
 - ◆ Relies on XML Signature & Encryption

Authentication II

- **SAML** (Security Assertion Markup Language)
 - ◆ A XML-based framework (schemas) for the exchange of authentication and authorization information
 - ◆ Mainly for integration, up to relying parties to decide to what authentication authority to trust
 - ◆ Assertions can convey information about authentication acts performed by subjects, attributes of subjects, and authorization decisions about whether subjects are allowed to access certain resources
 - ◆ Authentication statements merely describe acts of authentication that happened previously
- **SAML & WS-Security** allow a SOAP message to include information about the end-user's authentication status

Authorization

- Once the sender or end-user is authenticated, are they allowed to access the resource which they are requesting?
- **XACML** (XML Access Control Markup Language) defines how to represent access control rules in XML
- **WS-Policy** defines web service policies (algorithms, tokens, privacy requirements, encodings,..) between senders and receivers
 - ◆ Also other policies, declarative & conditional assertions
- **SAML** (Security Assertion Markup Language)
- Existing tools for authorization to websites
 - ◆ Distinguish resources as URLs
 - ◆ A single URL can contain many Web Services

Integrity

- Has this message been tampered with?
 - ◆ Checksums, digital signatures
 - ◆ PKCS#7 signature
 - ☞ Predates XML, ASN.1 binary format
 - ☞ How to sign only parts of a document (of a tree)?
 - ◆ XML Signature
- Has the system been tampered with?
 - ◆ Intrusion detection
 - ◆ Tamper control

Confidentiality

- Can the message be read while in transit?
 - ◆ Transport (or below) level security: HTTPS, IPSEC
 - ◆ Message-level security: XML Encryption, WS-Security
- Can the message be read while it is stored?
 - ◆ XML Database security
 - ◆ Access control
- Is the data private?
 - ◆ Gated access to private data
 - ◆ Audit trails of access

Audit

- Are transactions stored?
 - ◆ Does the storage alter the format? (e.g. splitting an XML message into elements in order to store it into a database)
- Is reporting available?
- Who can run / access the reports?



Availability

- Preventing denial-of-service attacks
 - ◆ Blocking unwanted message "storms"
- Use of load-balancers
 - ◆ For XML communication platforms
 - ◆ For XML Gateways / Firewalls
- Design of underlying protocols



Administration

- Ease of setting up security policies
- Ability to inherit from a pre-existing policy
- Ability to "push" security policy to multiple Web Services, and Web Services platforms
- Possibility of exporting a policy, and importing it into a different system
 - ◆ Plain text, SQL, XACML
- **XKMS** (XML Key Management)
 - ◆ PKI for XML-based security

Non-repudiation

- Preventing users (and services) from denying a transaction occurred
- Requires a combination of the security requirements which we have seen so far
 - ◆ Proof of sender
 - ☞ Signature
 - ☞ Logging
 - ◆ Proof of receipt
 - ☞ Signature
 - ☞ Acknowledgement & logging
- Notoriously difficult to implement



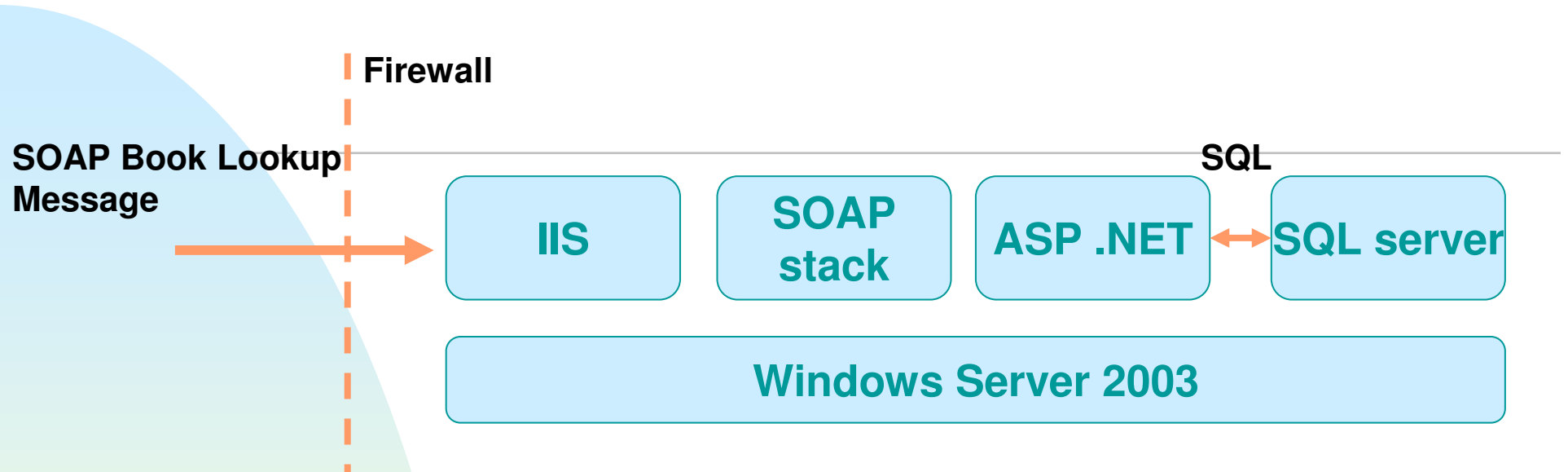
Lecture outline

- High-level view to WS Security
 - ◆ **WS Application-level security**
- Standardization landscape
- Basic XML security
- Summary

Web Application Security

- Application layer security has existed long before SOAP
- Application layer security for Web servers involves securing both the Web server itself, and Web applications which use the Web server as their platform
- Focus on attacks on Web applications rather than the platforms on which the Web applications run
 - ◆ Remember various CGI application attacks
- These attacks are specific to individual Web applications
- When bound to HTTP, SOAP itself can be seen as a Web application – albeit a more formalized one

Example – SQL Injection



```
<SOAP-ENV:Envelope xmlns:SOAP-ENV="..">  
<SOAP-ENV:Header><SOAP-ENV:Header>  
<SOAP-ENV:Body>  
<BookLookup:searchByISBN xmlns:Booklookup="..">  
<BookLookup:ISBN>1234567810</BookLookup:ISBN>  
</BookLookup:searchByISBN>  
</SOAP-ENV:Body></SOAP-ENV:Envelope>
```

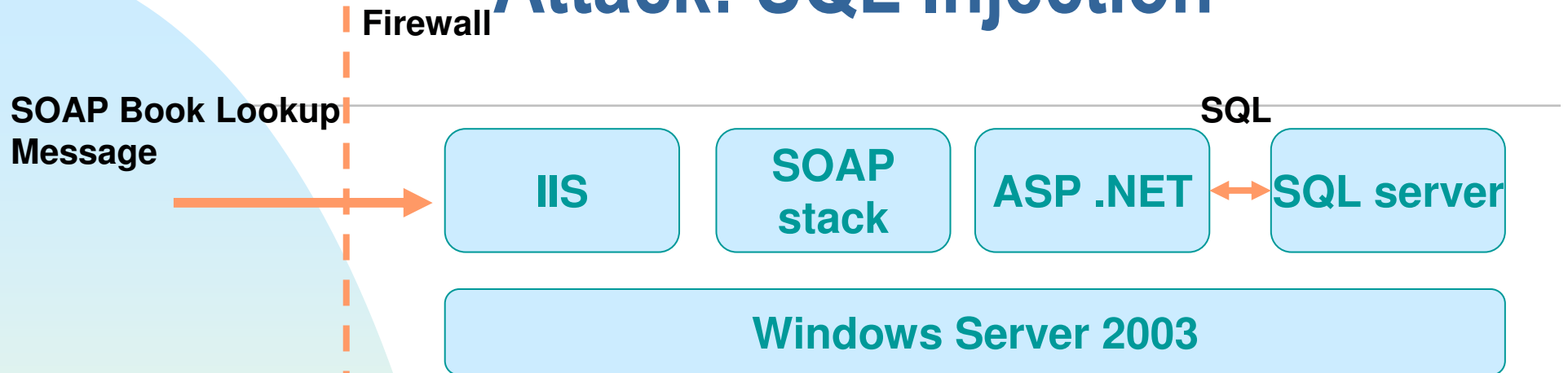
VB.NET code:

```
Set myRecordset = myConnection.execute("SELECT * FROM myBooksTable  
WHERE ISBN="" & ISBN_Element_Text & """)
```

Becomes

```
SELECT * FROM myBooksTable WHERE ISBN = '1234567810'
```


Attack: SQL Injection



```
<SOAP-ENV:Envelope xmlns:SOAP-ENV="..">  
<SOAP-ENV:Header><SOAP-ENV:Header>  
<SOAP-ENV:Body>  
<BookLookup:searchByISBN xmlns:Booklookup="..">  
<BookLookup:ISBN>' ; exec master..xp_cmdshell 'net user Joe pass /ADD';--  
</BookLookup:ISBN></BookLookup:searchByISBN>  
</SOAP-ENV:Body></SOAP-ENV:Envelope>
```

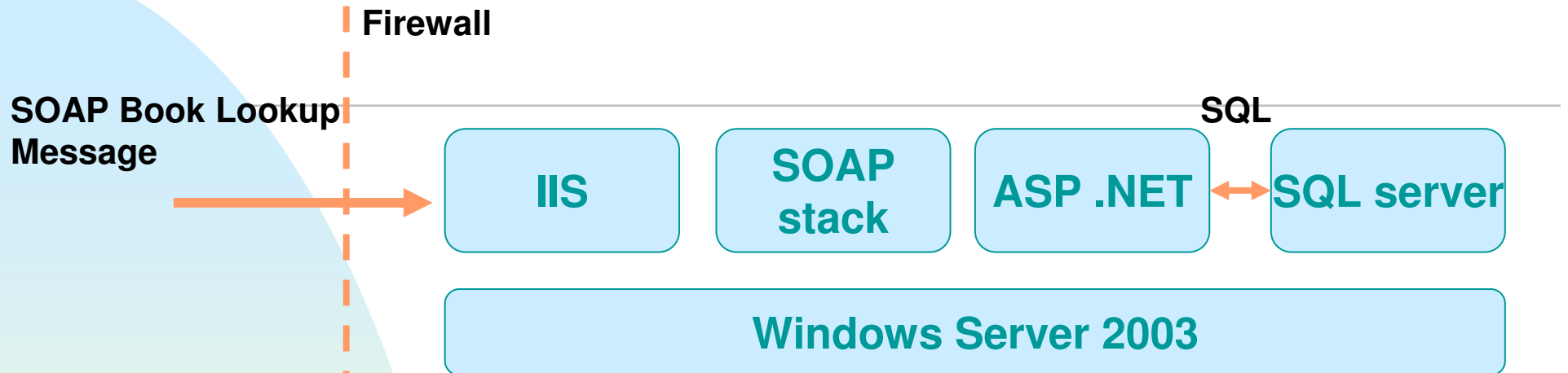
VB.NET code:

```
Set myRecordset = myConnection.execute("SELECT * FROM myBooksTable  
WHERE ISBN="" & ISBN_Element_Text & """)
```

Becomes

```
SELECT * FROM myBooksTable WHERE ISBN = '' ; exec master..xp_cmdshell 'ne  
user Joe pass /ADD ' ;—
```

Solution



Ensure the format of incoming SOAP parameters
`<simpleType name="isbn"><restrictions base="string"><pattern value="[0-9]{10}" /></restriction></simpleType>`

Validate this Schema against the data isolated by the following XPath expression:
`/Body/BookLookup:searchByISBN/BookLookup:ISBN`

1234567810 **passes**

'exec master..xp_cmdshell 'net user Joe pass /ADD'-- **fails**

XML Schema Solution

```
<xsd:schema
  xmlns:xsd="http://www.w3.org/2001/XMLSchema"
  targetNamespace = "https://www.books.com/Lookup"
  xmlns="https://www.books.com/Lookup"
  elementFormDefault="qualified">
  <simpleType name="isbn">
    <restriction base="string">
      <pattern value="[0-9]{10}"/>
    </restriction>
  </simpleType>
</xsd:schema>
```

Content Inspection of XML

- Integrity
 - ◆ Check integrity of data using XML Signature, WS-Security
- Schema Validation
 - ◆ Verify request structure against XML Schema
- Content Validation
 - ◆ Check content matches criteria specified in an XPath expression
- Schemas can be used to specify part of the content (for example ISBN) but they have limits
 - ◆ XPath is more expressive
 - ◆ Schema validation may always be applied to Body of SOAP msgs (rpc/literal vs. document/literal)



Application-layer Security

- Identity-based security
 - ◆ Authentication and authorization information shared across security domains
- Content-based security
 - ◆ Protecting against buffer overflow and CGI-like attacks
 - ◆ Must have knowledge about the applications to which these messages are directed
- Accountability or non-repudation
 - ◆ Need message level security
 - ◆ Maintain integrity, archived audit trails
- The standards and specifications mentioned earlier address these issues



Lecture outline

- High-level view to WS Security
 - ◆ WS Application-level security
- **Standardization landscape**
- Basic XML security
- Summary



Standardization landscape

- Who are specifying the basic standards?
- Who are specifying the higher level standards?
- Who is implementing the standards?

Who are specifying the standards?

- Joint IETF/W3C
 - ◆ XML Signature (www.w3.org/Signature)
- W3C
 - ◆ XML Encryption (www.w3.org/Encryption/2001)
 - ◆ XML Key Management (XKMS) (www.w3.org/2001/XKMS)
- OASIS
 - ◆ WS-Security
 - ☞ SOAP Message Security specification etc.
 - ◆ SAML: Security Assertion Markup Language
 - ◆ XACML: Extensible Access Control Markup language
 - ◆ Electronic Business XML (ebXML) (with UN/CEFACT)
- Web Services Interoperability Organization (WS-I)
 - ◆ Basic security

Standardization Groups

W3C

XML Encryption



XML Signature

**WS-Secure
Conversation**

WS-Federation

WS-Authoriz.

**WS-Security
Policy**

WS-Trust

WS-Privacy

WS-Security (framework)

XML Signature

XML Encryption

**Kerberos
profile**

**XrML
profile**

**X.509
profile**

**XCBF
profile**

**Username
profile**

**SAML
profile**

Who are specifying the higher level standards?

- Liberty Alliance (OMA)
 - ◆ Identity-based specifications (single sign-on, identity federation)
 - ◆ Specifications build on SAML, SOAP, WAP, and XML.
- Microsoft (Passport,..)
- Object Management Group (OMG)
- European Telecommunications Standards Institute (www.etsi.org)
- Organization for the Advancement of Structured Information Standards (OASIS) (www.oasis-open.org)



Who are implementing the standards?

- A lot of companies / initiatives
- Microsoft, Sun, NEC, Fujitsu, RSA, IBM, Entrust, HP, DSTC, IAIK, Baltimore, Apache

Lecture outline

- High-level view to WS Security
 - ◆ WS Application-level security
- Standardization landscape
- **Basic XML security**
- Summary

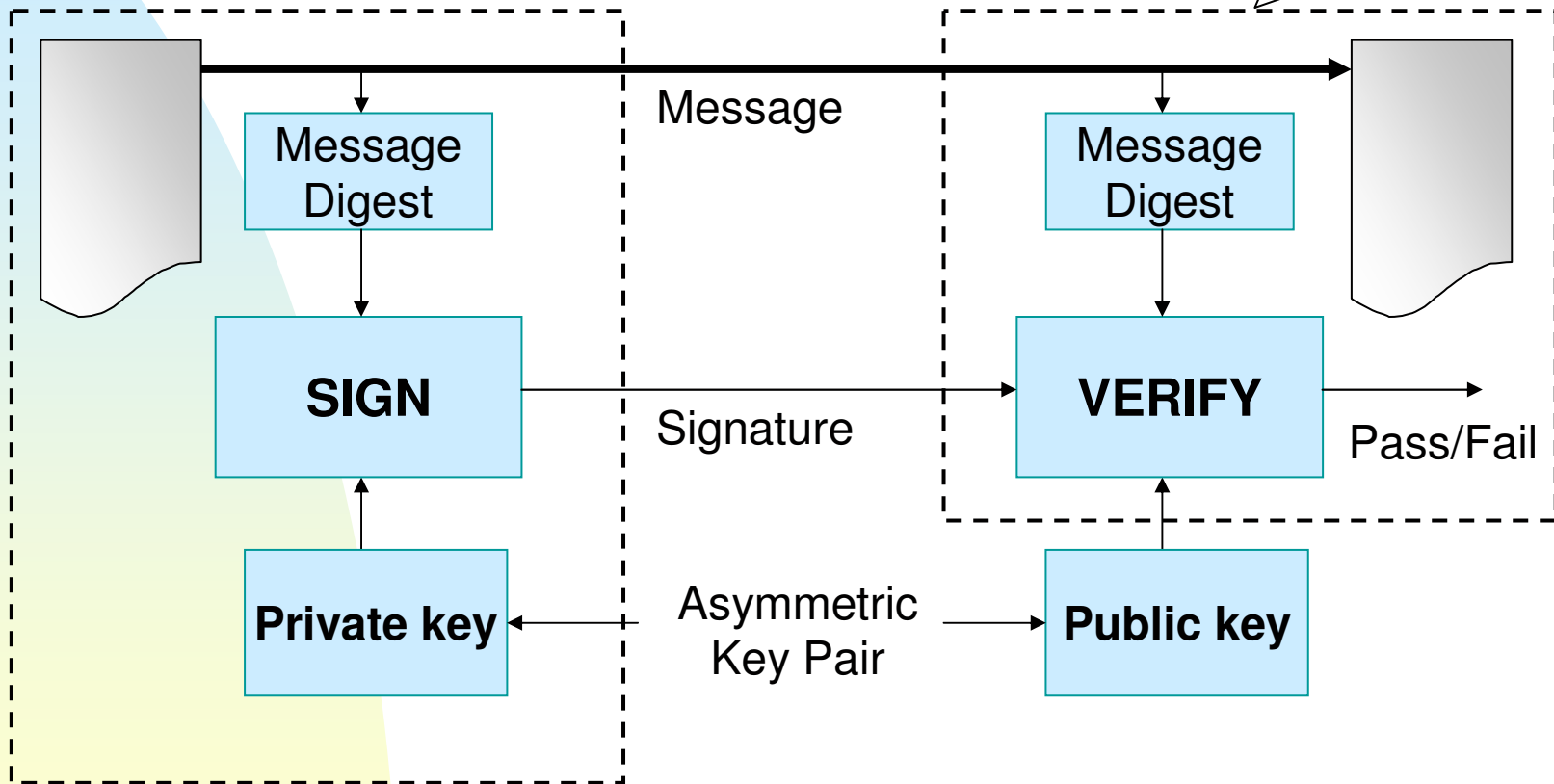


Basic XML Security

- XML Digital Signatures (XMLDSIG)
- XML Encryption
- XML Canonicalization

Digital Signatures

Need to know the message, digest, and algorithm (f.e. SHA1)



XML Digital Signatures

- Digests calculated and a <Reference> created
- <Reference (URI=)? (Id=)? (Type=)?>
(Transforms)?(DigestMethod)(DigestValue)</Reference>
- Then a <Signature> element created from <Reference>, keying information, signature algorithm, and value
 - ◆ The signature is actually calculated over the SignedInfo subset of this information
- NOTE: This means that the actual signature algorithm is ALWAYS applied to XML

XML Digital Signatures (cont.)

```
<Signature ID?>  
  <SignedInfo>  
    <CanonicalizationMethod/>  
    <SignatureMethod/>  
    (<Reference URI?>  
      (<Transforms>)?  
      <DigestMethod></DigestMethod>  
      <DigestValue></DigestValue>  
    </Reference>)+  
  </SignedInfo>  
  <Signaturevalue></Signaturevalue>  
  (<KeyInfo>)?  
  (<Object ID?>)*  
</Signature>
```

detached signature of the content of the HTML 4 in XML

[s01] <
[s02] <
[s03] <
[s04] <Signat
[s05] <
[s06] <
[s07] <
[s08] <
[s09] <DigestV
[s10] <DigestVa
[s11] </Referenc
[s12] </SignedInfo>
[s13] <SignatureV
[s14] <KeyInfo>
[s15a] <KeyValu
[s15b] <DSAKeyValu
[s15c] <P>...</P><Q>...</Q><G>...</G><Y>...</Y>
[s15d] </DSAKeyValu
[s15e] </KeyValu
[s16] </KeyInfo>

Signature algorithm: DSA
Reference to HTML 4 XML spec (detached)

Canonicalization method: etc. Applied to

Digest value calculated over the identified data after transformations

KeyInfo indicates the key to be used to validate the signature

Signed! validation of the digest within

...w.w3.org/TR/2001/REC-xml-c14n-200...
...w3.org/2000/09/xmlsig#dsa-sha1"/>
...EC-xhtml1-20000126/">

XML Digital Signatures (cont.)

- The data being signed can be inside the `<Signature>`, within an `<Object>` element (enveloping), or
- external to the `<Signature>` in the same document or elsewhere (detached), or
- surrounding the `<Signature>` (enveloped), or
- any combination of these.

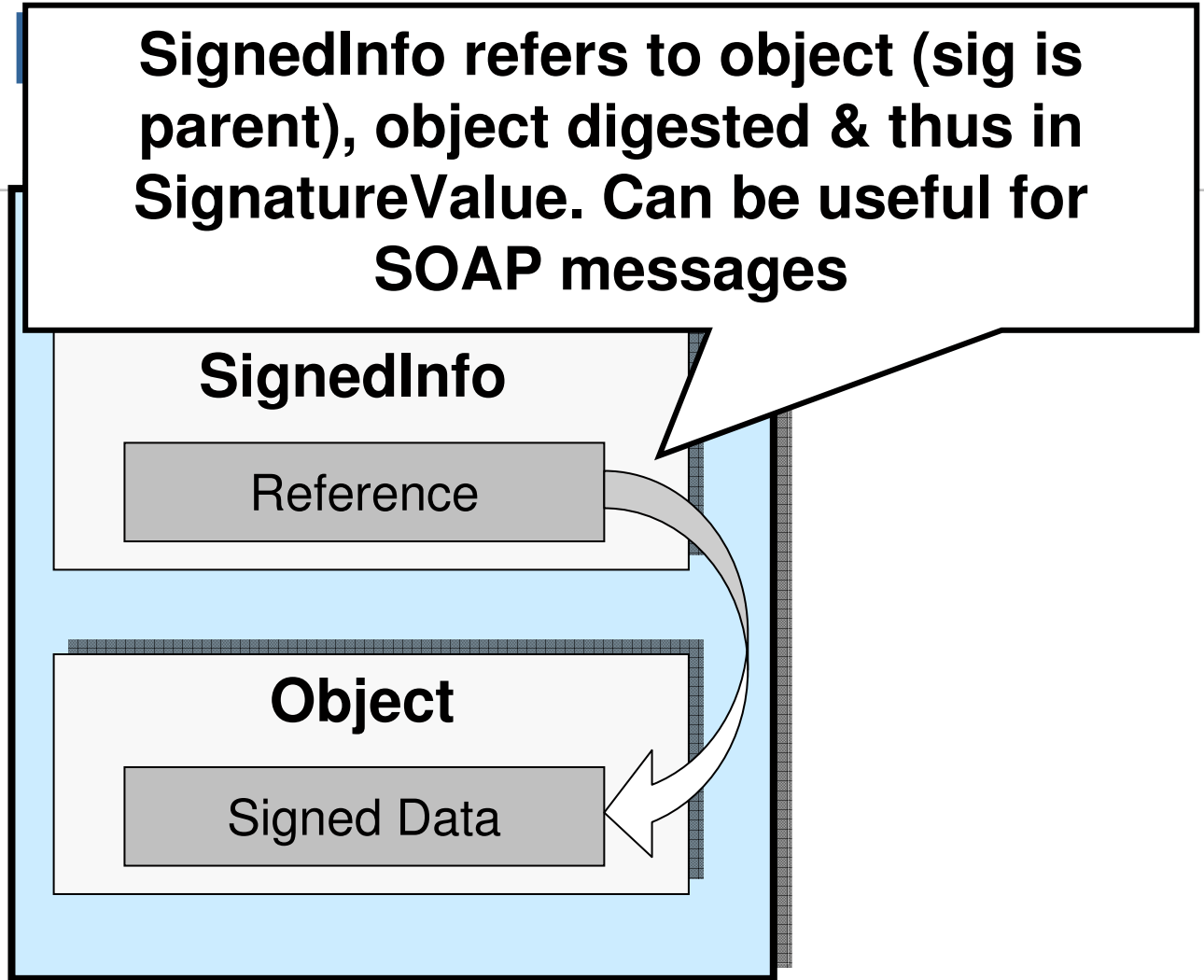
SignedInfo refers to object (sig is parent), object digested & thus in SignatureValue. Can be useful for SOAP messages

SignedInfo

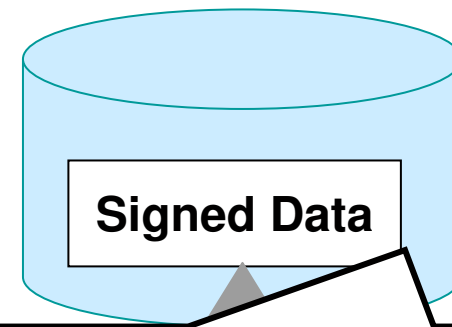
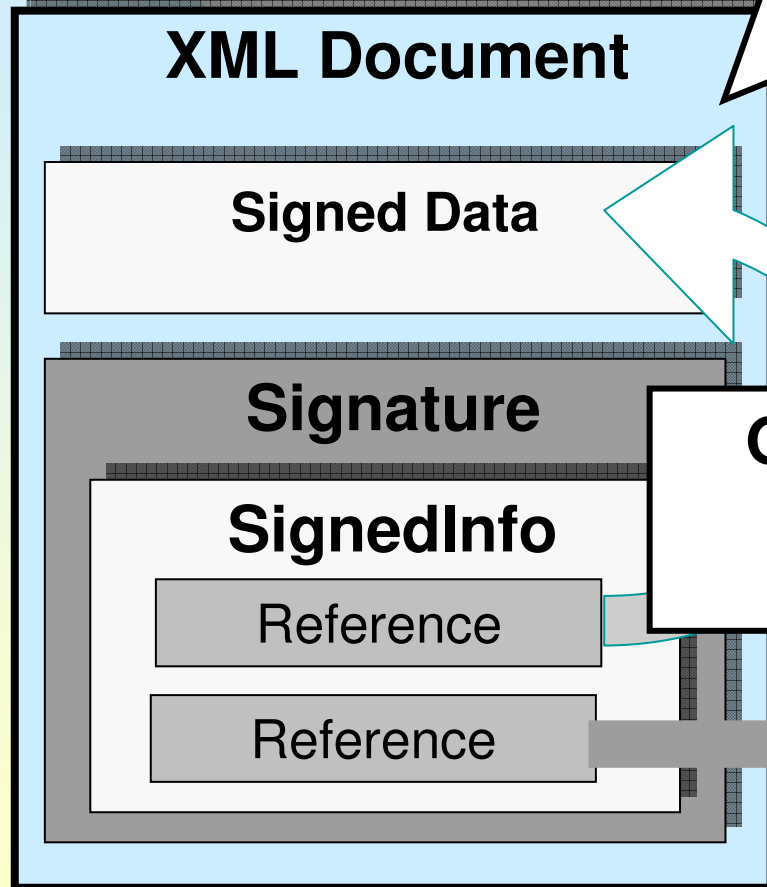
Reference

Object

Signed Data

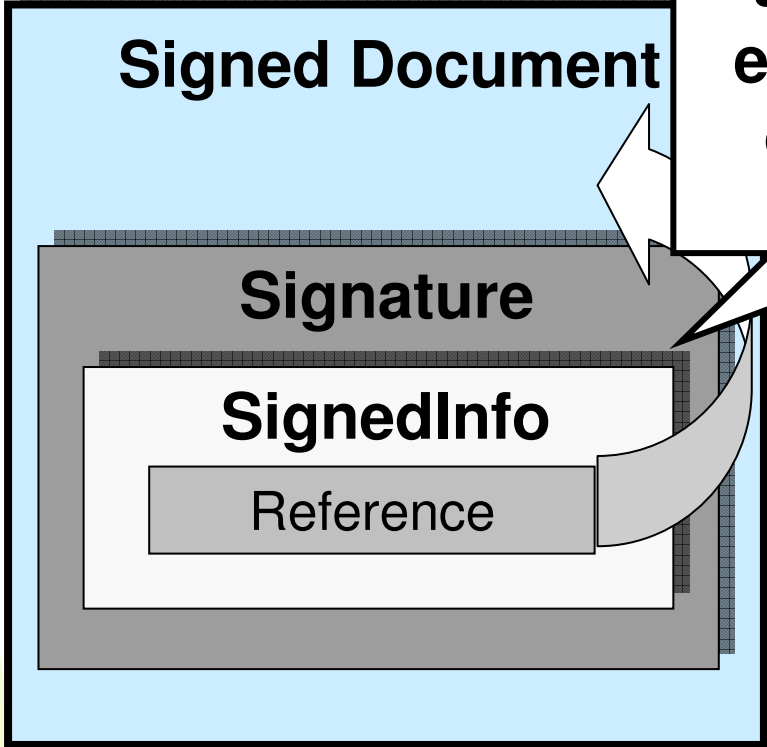


Signed data can be anywhere in the Local document



**Or in some other location.
Note that this SignedInfo
refers to multiple docs.**

Enveloped Sign



The sig is in the signed document as a child. For example: insert data to SOAP msgs

XML Signatures (cont.)

- To verify an XML digital signature
 - ◆ Verify the digests in each Reference, and
 - ◆ Verify the signature value over the SignedInfo with the appropriate key and given signature algorithm
- Note that transformations are symmetric for creation / verification! (different from transformations for encryption)

What about <Transforms>?

- A way to specify a sequence of algorithmic processing steps to apply
 - ◆ to the results retrieved from a URI to
 - ◆ Produce the data to be signed, verified, or decrypted.
 - ◆ Can include compression, encoding, subset extraction, etc. For example using XPath
 - ◆ Not needed in simple cases, but essential in complex cases



Next week

- Continue on service security
- Conclusions